

# Mendelian randomisation: a potential tool to resolve the age-long gut microbiome–depression conundrum

Temidayo Osunronbi

Academic Foundation Year 1 Doctor, Hull University Teaching Hospitals NHS Trust

Email: temi.osunronbi@yahoo.co.uk

## Introduction

Depression affects about 350 million people and is a leading cause of disability and mortality worldwide.<sup>1</sup> Antidepressants work in about 50–75% of patients and may have undesirable side effects, constituting a major public health challenge.<sup>2,3</sup> This inefficacy of treatment may be due to the current lack of understanding of the pathogenesis of depression. The leading hypotheses for the cause of depression are: neurotransmitter imbalance,<sup>4</sup> and dysfunctions of brain neuroplasticity,<sup>5</sup> the hypothalamus–pituitary–adrenal (HPA) axis<sup>6</sup> or the immune system.<sup>7</sup> However, these hypotheses are not universally accepted.<sup>8</sup>

The gut microbiome is believed to influence the functions of both the gut and the brain by modulating the host's neural, immune, and endocrine pathways. Also, there is a consensus of an alteration in the abundance and distribution of gut microbes in depressed subjects.<sup>9–11</sup> This suggests that the development of therapeutics that perturb the gut microbiome could be the solution to the current challenge of treating depression. Hence, a role of the gut microbiome in the pathogenesis of depression is now a major focus of research and public interest, and this is enabled by advances in sequencing technology. This review aims to discuss the existing evidence for a causal relationship between the gut microbiome in depression and proposes Mendelian randomisation (MR) as a new paradigm to investigate this relationship.

## The gut microbiome–depression hypotheses

### Hypothesis 1: a change in the gut microbiome causes depression

Rodent studies of faecal microbiome transplantation, germ-free mice, and vagotomy indicate that the gut microbiome influences brain physiology and, thus, mental health, directly through activation of the vagus nerve, and indirectly through the production of microbial metabolites that ultimately enter the brain.<sup>12–21</sup>

### Hypothesis 2: depression causes a change in the gut microbiome

Studies that used olfactory bulbectomy and stress models to induce depression-like behaviours in rodents have reported that depression influences the HPA axis and immune responses, resulting in a change in the gut microbiome.<sup>22–25</sup> Mice exposed to chronic social defeat, used as a stress model, demonstrated transiently elevated levels of IL-10<sup>+</sup> T regulatory cells, which preceded reduced diversity and reduced microbial richness in the mice.<sup>25</sup> Mice that underwent olfactory bulbectomy have been reported to exhibit increased HPA axis activity, significantly greater colonic motility, and altered

microbiome composition. It is believed that activation of the HPA axis increases colonic motility, leading to changes in the gut microbiome.<sup>23</sup>

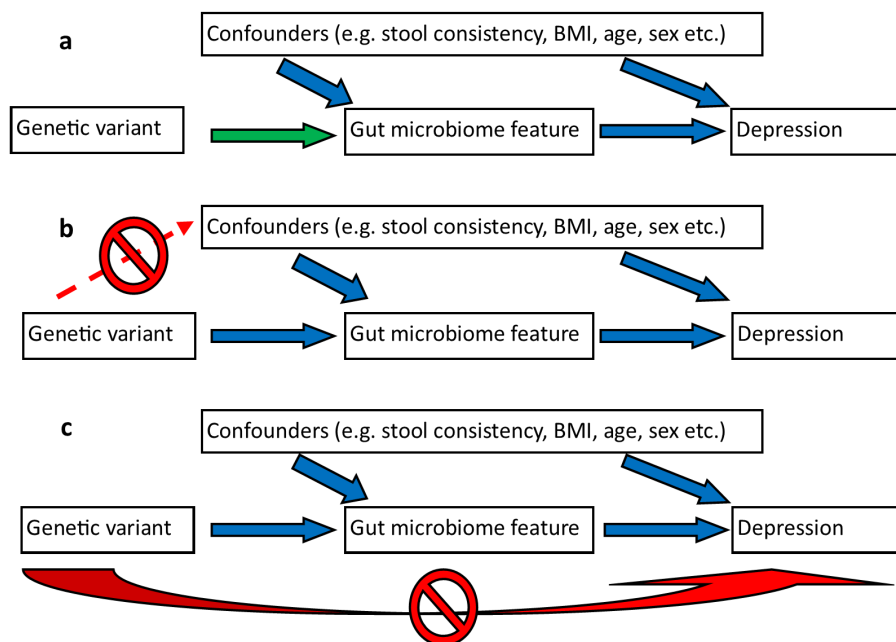
## Limitations of the current evidence of the gut microbiome–depression relationship

The hypothesis that a change in the gut microbiome causes depression and not vice versa may be significant in the development of effective therapeutics for depression. However, the evidence for the gut microbiome–depression hypotheses mentioned above has come from animal studies. Unfortunately, translating findings from animal models into effective therapeutics in humans frequently fails due to inter-species differences in biochemistry, behaviour and physiology.<sup>26,27</sup>

Human studies have shown correlations between specific gut bacteria, their metabolites and depression,<sup>15,28–31</sup> but these have all been observational studies that do not infer causality, and can be affected by unmeasured confounding factors.<sup>32,33</sup> A randomised controlled trial (RCT) is the gold standard design to infer causation between two variables,<sup>34</sup> and RCTs involving faecal mass transplantation may provide an answer as to whether there is a causal role of the gut microbiome in depression in humans. However, RCTs can be expensive, time-consuming and impractical.<sup>35</sup> Hence, MR is proposed as a novel tool to detect a causal effect of the gut microbiome in depression in humans.

## MR as a tool to investigate causality

MR is a method that uses genetic variants as instrumental variables to investigate the causal relationship between modifiable risk factors and health outcomes in observational data.<sup>36</sup> MR is comparable to RCTs because genetic alleles are randomly assorted and fixed at conception, making the MR method less likely to be affected by confounding or reverse causation than conventional observational studies.<sup>37</sup> Various studies have shown that it is possible to detect variants in the human genome that influence the composition of the gut microbiome.<sup>38–40</sup> Using these genetic variants, the MR approach can be used to identify whether any gut bacterial species has a causal effect on depression.<sup>41</sup> Some authors have reported that environmental factors play a bigger role than host genetics in the host's microbiome composition.<sup>42</sup> However, MR could still be used to investigate the gut microbiome, as demonstrated by recent studies investigating a causal relationship between the gut microbiome and metabolic diseases.<sup>41,43</sup>



**Figure 1. An illustration of the MR method and its assumptions for investigating a causal relationship between the gut microbiome and depression. (a)** Assumption 1: the genetic variant must be reproducible and directly associated with the gut microbiome feature. **(b)** Assumption 2: the genetic variant must not be associated with confounders. **(c)** Assumption 3: the genetic variant should only be associated with depression through the gut microbiome feature. Figure adapted with permission from Sekula et al.<sup>35</sup>

## A proposed MR study to investigate the gut microbiome–depression hypothesis

As a proposed MR study, genome-wide genetic data, gut metagenomic sequencing, measurements of faecal microbiome-dependent metabolites, and depression status could be collected from cohorts such as the Belgian Flemish Gut Flora Project (FGFP) and the Dutch Lifelines DEEP (LLD) cohorts.<sup>28</sup> Once the microbiome features (metabolites, pathways, and unique taxa) associated with depression are established, data from genome-wide association studies (GWAS) from the LLD and FGFP projects could be used to identify the independent genetic variants that are associated with each depression-related microbiome feature.

The MR approach must satisfy three assumptions (**Figure 1**): firstly, that the genetic variant selected as the instrumental variable is strongly associated with the gut microbiome feature; secondly, that the genetic variant is not associated with any unmeasured confounders of the gut microbiome feature and depression relationship; and thirdly, that the genetic variant is associated with depression only through gut microbiome features, not through other pathways.<sup>32,37</sup> However, it is difficult to ascertain that none of the MR assumptions are violated. Thus, any findings of a causal relationship in the proposed study cohorts (LLD and FGFP) should be validated in an independent cohort, such as the UK Biobank.<sup>41</sup> Previous articles have provided details on how to conduct MR and assess the plausibility of MR assumptions.<sup>32,35–37</sup>

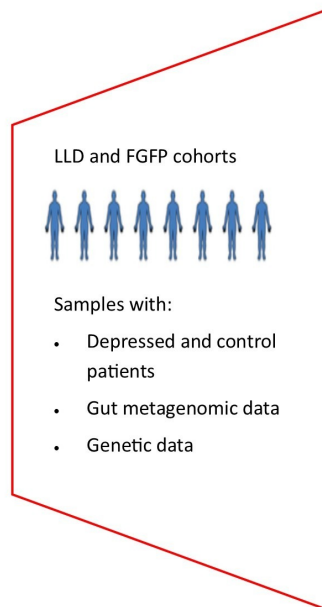
Although the MR approach as described above could provide inference of a causal relationship between the gut microbiome and depression, it does not distinguish the directionality of a causal association. Bidirectional MR is an approach that could be used to determine the directionality of a causal association by investigating the hypotheses that depression causes a change in the host's gut microbiome and vice versa.<sup>32,36</sup> Three recent GWAS have reported reproducible genetic variants for depression.<sup>44–46</sup> These studies culminated in a genome-wide meta-analysis of depression which reported 102 associated variants that were replicated in an independent sample.<sup>47</sup> The variants had an equivalent direction of allelic effect across the three studies that contributed to the meta-analysis, suggesting a robust association between the genetic variants and depression.<sup>47</sup> Hence, if MR assumptions are met, MR could be used to investigate the hypothesis that depression causes a change in the gut microbiome. **Figure 2** summarises a proposed MR study that could be used to investigate a causal role for the gut microbiome in depression.

## Conclusion

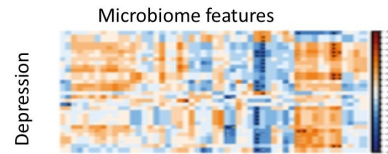
Currently, there is no consensus on the pathogenesis of depression. Advances in sequencing technology have enabled large observational studies, which implicate the gut microbiome in the pathogenesis of depression. However, conventional observational studies do not infer causality and are affected by confounding factors. MR analysis of observational data reduces the likelihood of data analysis being affected by confounding factors and reverse causation. If MR analysis reports that the imbalance of specific gut bacteria and their metabolites causes depression, this could lead to the development of effective therapeutics targeting the gut microbiome, helping to overcome the major challenges faced in treating depression.

**Copyright** This work is licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License. To view a copy of the license, visit <https://creativecommons.org/licenses/by-nc-nd/4.0/legalcode>. The copyright of all articles belongs to the author(s), and a citation should be made when any article is quoted, used or referred to in another work. All articles included in the *INSPIRE Student Health Sciences Research Journal* are written and reviewed by students, and the Editorial Board is composed of students. Thus, this journal has been created for educational purposes and all content is available for reuse by the authors in other formats, including peer-reviewed journals.

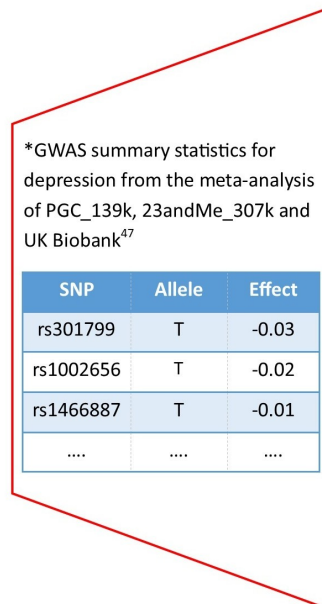
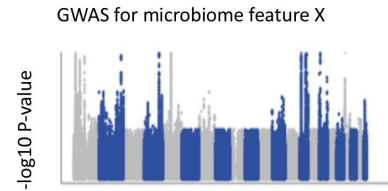
**Figure 2. Schematic representation of a proposed MR study to test for a causal relationship between the gut microbiome and depression.** Microbiome features refer to metabolites, pathways, and unique taxa. Figure adapted by permission from Springer Nature, from Sanna et al.<sup>41</sup>



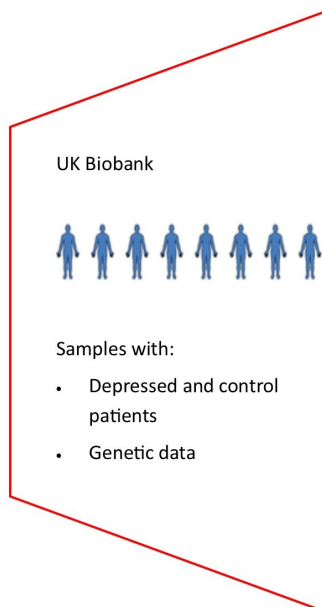
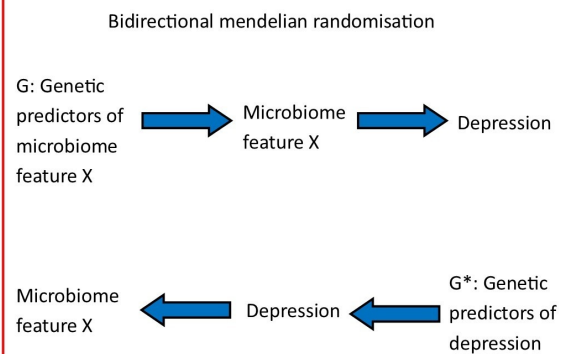
1. Which microbiome features correlate with depression?



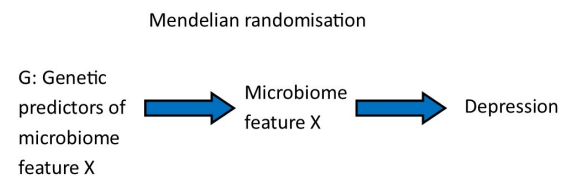
2. What are the genetic predictors of those individual microbiome features?



3. Do changes in microbiome features cause depression or vice versa?



4. Can we replicate causal relationships?



## References

- Ferrari AJ, Charlson FJ, Norman RE, et al. Burden of depressive disorders by country, sex, age, and year: findings from the global burden of disease study 2010. *PLoS Med*, 2013; 10(11):e1001547.
- Undurraga J, Baldessarini RJ. Randomized, placebo-controlled trials of antidepressants for acute major depression: thirty-year meta-analytic review. *Neuropsychopharmacology*, 2012; 37(4):851–864.
- Rush AJ, Trivedi MH, Wisniewski SR, et al. Acute and longer-term outcomes in depressed outpatients requiring one or several treatment steps: a STAR\*D report. *Am J Psychiatry*, 2006; 163(11):1905–1917.
- Mahar I, Bambico FR, Mechawar N, et al. Stress, serotonin, and hippocampal neurogenesis in relation to depression and antidepressant effects. *Neuroscience and Biobehavioral Reviews*, 2014; 38:173–192.
- Alves ND, Correia JS, Patrício P, et al. Adult hippocampal neuroplasticity triggers susceptibility to recurrent depression. *Transl Psychiatry*, 2017; 7(3):e1058.
- Ising M, Horstmann S, Kloiber S, et al. Combined dexamethasone/corticotropin releasing hormone test predicts treatment response in major depression—a potential biomarker? *Biol Psychiatry*, 2007; 62(1):47–54.
- Franklin TC, Wohleb ES, Zhang Y, et al. Persistent increase in microglial RAGE contributes to chronic stress-induced priming of depressive-like behavior. *Biol Psychiatry*, 2018; 83(1):50–60.
- Zheng P, Zeng B, Zhou C, et al. Gut microbiome remodeling induces depressive-like behaviors through a pathway mediated by the host's metabolism. *Mol Psychiatry*, 2016; 21(6):786–796.
- Winter G, Hart RA, Charlesworth RPG, et al. Gut microbiome and depression: what we know and what we need to know. *Rev Neurosci*, 2018; 29(6):629–643.
- Cryan JF, Dinan TG. Mind-altering microorganisms: the impact of the gut microbiota on brain and behaviour. *Nature Reviews Neuroscience*, 2012; 13:701–712.
- O'Mahony SM, Clarke G, Borre YE, et al. Serotonin, tryptophan metabolism and the brain-gut-microbiome axis. *Behavioural Brain Research*, 2015; 277:32–48.
- Sudo N, Chida Y, Aiba Y, et al. Postnatal microbial colonization programs the hypothalamic-pituitary-adrenal system for stress response in mice. *J Physiol*, 2004; 558(1):263–275.
- Kelly JR, Borre Y, O'Brien C, et al. Transferring the blues: depression-associated gut microbiota induces neurobehavioural changes in the rat. *J Psychiatr Res*, 2016; 82:109–118.
- Li B, Guo K, Zeng L, et al. Metabolite identification in fecal microbiota transplantation mouse livers and combined proteomics with chronic unpredictable mild stress mouse livers. *Transl Psychiatry*, 2018; 8(1):34.
- Zheng P, Zeng B, Zhou C, et al. Gut microbiome remodeling induces depressive-like behaviors through a pathway mediated by the host's metabolism. *Mol Psychiatry*, 2016; 21(6):786–796.
- Winter G, Hart RA, Charlesworth RPG, et al. Gut microbiome and depression: what we know and what we need to know. *Rev Neurosci*, 2018; 29(6):629–643.
- Clarke G, Grenham S, Scully P, et al. The microbiome-gut-brain axis during early life regulates the hippocampal serotonergic system in a sex-dependent manner. *Mol Psychiatry*, 2013; 18(6):666–673.
- Neufeld KM, Kang N, Bienenstock J, et al. Reduced anxiety-like behavior and central neurochemical change in germ-free mice. *Neurogastroenterol Motil*, 2011; 23(3):255–264.
- Bercik P, Park AJ, Sinclair D, et al. The anxiolytic effect of *Bifidobacterium longum* NCC3001 involves vagal pathways for gut-brain communication. *Neurogastroenterol Motil*, 2011; 23(12):1132–1139.
- Bravo JA, Forsythe P, Chew MV, et al. Ingestion of *Lactobacillus* strain regulates emotional behavior and central GABA receptor expression in a mouse via the vagus nerve. *Proc Natl Acad Sci U S A*, 2011; 108(38):16050–16055.
- Asano Y, Hiramoto T, Nishino R, et al. Critical role of gut microbiota in the production of biologically active, free catecholamines in the gut lumen of mice. *Am J Physiol Liver Physiol*, 2012; 303(11):G1288–G1295.
- Yu M, Jia H, Zhou C, et al. Variations in gut microbiota and fecal metabolic phenotype associated with depression by 16S rRNA gene sequencing and LC/MS-based metabolomics. *J Pharm Biomed Anal*, 2017; 138:231–239.
- Park AJ, Collins J, Blennerhassett PA, et al. Altered colonic function and microbiota profile in a mouse model of chronic depression. *Neurogastroenterol Motil*, 2013; 25(9):733–e575.
- Aoki-Yoshida A, Aoki R, Moriya N, et al. Omics studies of the murine intestinal ecosystem exposed to subchronic and mild social defeat stress. *J Proteome Res*, 2016; 15(9):3126–3138.
- Bharwani A, Mian MF, Foster JA, et al. Structural and functional consequences of chronic psychosocial stress on the microbiome and host. *Psychoneuroendocrinology*, 2016; 63:217–227.
- Kelly JR, Allen AP, Temko A, et al. Lost in translation? The potential probiotic *Lactobacillus rhamnosus* (JB-1) fails to modulate stress or cognitive performance in healthy male subjects. *Brain Behav Immun*, 2017; 61:50–59.
- Nestler EJ, Hyman SE. Animal models of neuropsychiatric disorders. *Nature Neuroscience*, 2010; 13:1161–1169.
- Valles-Colomer M, Falony G, Darzi Y, et al. The neuroactive potential of the human gut microbiota in quality of life and depression. *Nat Microbiol*, 2019; 4(4):623–632.
- Naseribafrouei A, Hestad K, Avershina E, et al. Correlation between the human fecal microbiota and depression. *Neurogastroenterol Motil*, 2014; 26(8):1155–1162.
- Jiang H, Ling Z, Zhang Y, et al. Altered fecal microbiota composition in patients with major depressive disorder. *Brain Behav Immun*, 2015; 48:186–194.
- Skonieczna-Zydecka K, Grochans E, Maciejewska D, et al. Faecal short chain fatty acids profile is changed in Polish depressive women. *Nutrients*, 2018; 10(12):1939.
- Smith GD, Hemani G. Mendelian randomization: genetic anchors for causal inference in epidemiological studies. *Hum Mol Genet*, 2014; 23(R1):R89–R98.
- Fewell Z, Davey Smith G, Sterne JAC. The impact of residual and unmeasured confounding in epidemiologic studies: a simulation study. *Am J Epidemiol*, 2007; 166(6):646–655.
- Akobeng AK. Understanding randomised controlled trials. *Arch Dis Child*, 2005; 90(8):840–844.
- Sekula P, Del Greco MF, Pattaro C, et al. Mendelian randomization as an approach to assess causality using observational data. *J Am Soc Nephrol*, 2016; 27(11):3253–3265.
- Davies NM, Holmes MV, Davey Smith G. Reading Mendelian randomisation studies: a guide, glossary, and checklist for clinicians. *BMJ*, 2018; 362:k601.
- Lawlor DA, Harbord RM, Sterne JAC, et al. Mendelian randomization: using genes as instruments for making causal inferences in epidemiology. *Stat Med*, 2008; 27(8):1133–1163.
- Turpin W, Espin-Garcia O, Xu W, et al. Association of host genome with intestinal microbial composition in a large healthy cohort. *Nat Genet*, 2016; 48(11):1413–1417.
- Bonder MJ, Kurilshikov A, Tigchelaar EF, et al. The effect of host genetics on the gut microbiome. *Nat Genet*, 2016; 48(11):1407–1412.
- Goodrich JK, Davenport ER, Beaumont M, et al. Genetic determinants of the gut microbiome in UK twins. *Cell Host Microbe*, 2016; 19(5):731–743.
- Sanna S, van Zuydam NR, Mahajan A, et al. Causal relationships among the gut microbiome, short-chain fatty acids and metabolic diseases. *Nature Genetics*, 2019; 51:600–605.
- Rothschild D, Weissbrod O, Barkan E, et al. Environment dominates over host genetics in shaping human gut microbiota. *Nature*, 2018; 555(7695):210–215.
- Jia J, Dou P, Gao M, et al. Assessment of causal direction between gut microbiota-dependent metabolites and cardiometabolic health: a bidirectional Mendelian randomisation analysis. *Diabetes*, 2019; 68(9):1747–1755.
- Hyde CL, Nagle MW, Tian C, et al. Identification of 15 genetic loci associated with risk of major depression in individuals of European descent. *Nat Genet*, 2016; 48(9):1031–1036.
- Wray NR, Ripke S, Mattheisen M, et al. Genome-wide association analyses identify 44 risk variants and refine the genetic architecture of major depression. *Nat Genet*, 2018; 50(5):668–681.
- Howard DM, Adams MJ, Shirali M, et al. Genome-wide association study of depression phenotypes in UK Biobank identifies variants in excitatory synaptic pathways. *Nat Commun*, 2018; 9(1):1470.
- Howard DM, Adams MJ, Clarke TK, et al. Genome-wide meta-analysis of depression identifies 102 independent variants and highlights the importance of the prefrontal brain regions. *Nat Neurosci*, 2019; 22(3):343–352.